

STATE OF INDIANA     )  
                                  ) SS:  
COUNTY OF MARION    )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER: 10997-AD12-0214-011

Marti L. Brock

Respondent

1637 Berrytown Road  
Rineyville, KY 40162

Type of Agency Action: Enforcement

**FILED**

MAR 14 2012

STATE OF INDIANA  
DEPT. OF INSURANCE

**FINAL ORDER**

The Indiana Department of Insurance ("Department") and Marti L. Brock, an applicant for an Indiana Non-Resident Title Insurance Producer License, signed an Agreed Entry which purports to resolve all issues involved in the action by the Department regarding Respondent's license application, and which has been submitted to the Commissioner of Insurance (the "Commissioner") for approval.

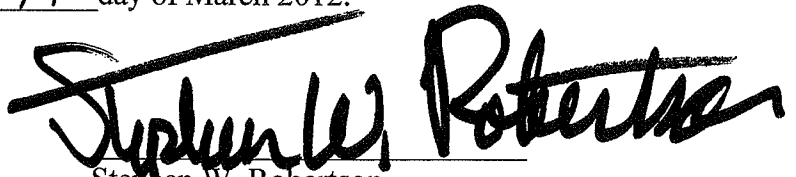
The Commissioner, after reviewing the Agreed Entry, finds it has been entered into fairly and without fraud, duress or undue influence, and is fair and equitable between the parties. The Commissioner hereby incorporates the Agreed Entry as if fully set forth as attached as Exhibit A herein, and approves and adopts in full the Agreed Entry as a resolution of this matter.

IT IS THEREFORE ORDERED by the Commissioner of Insurance:

1. Respondent disclosed a 2002 misdemeanor conviction for embezzlement, in violation of Indiana Code 27-1-15.6-12(b)(8), on her application for a Non-Resident Title Insurance Producer License.

2. The Department will issue the Respondent with a Non-Resident Title Insurance Producer License on a Probationary basis. The terms of the probation are as follows: Respondent's license will be placed on a probationary status; Respondent will not violate Indiana insurance laws; Respondent will not have access to her employer's escrow account; Respondent will not have check signing authority for her employer's bank accounts, including the escrow account.
3. On the date of her license renewal, two (2) years after her license is initially granted, Respondent may apply to the Commissioner to remove both the Probationary status and restrictions on her license.
4. Upon the Commissioner's signature of the Final Order, Respondent's Producer License Application will be processed in the normal manner.
5. The Department agrees to accept Respondent's compliance with the terms of this agreement as full resolution of the issues regarding Respondent's producer license application.

ALL OF WHICH IS ORDERED this 14<sup>th</sup> day of March 2012.

  
Stephen W. Robertson,  
Commissioner  
Indiana Department of Insurance

Distribution:

Nikolas P. Mann  
**INDIANA DEPARTMENT OF INSURANCE**  
311 West Washington Street, Suite 300  
Indianapolis, Indiana 46204-2787

Marti L. Brock  
1637 Berrytown Road  
Rineyville, KY 40162

STATE OF INDIANA )  
 ) SS:  
COUNTY OF MARION )

BEFORE THE INDIANA  
COMMISSIONER OF INSURANCE

CAUSE NUMBER:10997-AD12-0214-011

IN THE MATTER OF:

Marti L. Brock

Respondent

1637 Berrytown Road  
Rineyville, KY 40162

Type of Agency Action: Enforcement

**FILED**

MAR 14 2012

STATE OF INDIANA  
DEPT. OF INSURANCE

**AGREED ENTRY**

This Agreed Entry is executed by Nikolas P. Mann, Attorney for and on behalf of the State of Indiana, Department of Insurance ("Department"), and Marti L. Brock, an applicant for a Non-Resident Title Insurance Producer License. This Agreed Entry is subject to the review and approval of the Commissioner of the Indiana Department of Insurance.

WHEREAS, Respondent is a resident of Kentucky; and,

WHEREAS, Respondent submitted an application for a Non-Resident Title Insurance Producer License on January 31, 2012; and,

WHEREAS, Respondent disclosed a misdemeanor conviction on her application;  
and,

WHEREAS, the Department and Respondent desire to settle the issues without a hearing;

IT IS THEREFORE, NOW AGREED by and between the parties as follows:

1. The Commissioner has jurisdiction over the subject matter of and the parties to this Agreed Entry.
2. This Agreed Entry is executed voluntarily by the parties.
3. Respondent voluntarily and freely waives her right to a public hearing.
4. Respondent voluntarily and freely waives her right to petition for judicial review of this agreement and the Commissioner's Final Order.
5. Respondent disclosed a 2002 misdemeanor conviction for embezzlement, in violation of Indiana Code 27-1-15.6-12(b)(8), on her application for a Non-Resident Title Insurance Producer License.
6. The Department will issue the Respondent with a Non-Resident Title Insurance Producer License on a Probationary basis. The terms of the probation are as follows: Respondent's license will be placed on a probationary status; Respondent will not violate Indiana insurance laws; Respondent will not have access to her employer's escrow account; Respondent will not have check signing authority for her employer's bank accounts, including the escrow account.
7. On the date of her license renewal, two (2) years after her license is initially granted, Respondent may apply to the Commissioner to remove both the Probationary status and restrictions on her license.
8. Upon the Commissioner's signature of the Final Order, Respondent's Producer License Application will be processed in the normal manner.
9. The Department agrees to accept Respondent's compliance with the terms of this agreement as full resolution of the issues regarding Respondent's producer license application.

10. Should this Agreed Entry not be accepted by the Commissioner, it is agreed that presentation to and consideration of this Agreed Entry by the Commissioner shall not unfairly or illegally prejudice the Commissioner from further participation in or resolution of these proceedings.
11. Respondent has carefully read and examined this agreement and fully understands its terms.

3/2/12  
Date Signed

Nikolas P. Mann  
Nikolas P. Mann, Attorney  
Indiana Department of Insurance

2-27-12  
Date Signed

Marti L. Brock  
Marti L. Brock, Respondent

STATE OF KENTUCKY )  
 ) SS:  
COUNTY OF JEFFERSON )

Before me a Notary Public for Jefferson County, State of Kentucky, personally appeared Marti L. Brock, and being first duly sworn by me upon his/her oath, says that the facts alleged in the foregoing instrument are true.

Signed and sealed this 27 day of Feb., 2012.

Jennifer Keister  
Signature

Jennifer Keister  
Printed

My Commission expires: 11-01-2013

County of Residence: Jefferson

